

Prospective Study

Modified *Helicobacter* test using a new test meal and a ¹³C-urea breath test in *Helicobacter pylori* positive and negative dyspepsia patients on proton pump inhibitors

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Abstract

AIM

To determine the sensitivity and specificity of the ¹³C-urea breath test (UBT) in patients taking proton pump inhibitors (PPIs), using a new test meal Refex.

METHODS

One hundred and fourteen consecutive patients with dyspepsia, 53 *Helicobacter pylori* (*H. pylori*) positive, 49 *H. pylori* negative, were included in the study. The patients were then given esomeprazole 40 mg for 29 consecutive days, and the ¹³C-UBT with the new test meal was performed the next morning.

RESULTS

The sensitivity of the ¹³C-UBT with a cut off 2.5‰ was

92.45% (95%CI: 81.79%-97.91%) by per-protocol (PP) analysis and 78.13 % (95%CI: 66.03%-87.49%) by intention-to-treat (ITT) analysis. The specificity of the ¹³C-UBT test was 96.00 % in the ITT population (95%CI: 86.29%-99.51%) and 97.96% in the PP population (95%CI: 89.15%-99.95%).

CONCLUSION

The new test meal based ¹³C-UBT is highly accurate in patients on PPIs and can be used in those unable to stop their PPI treatment.

Key words: Urea breath test; New test meal; Proton pump inhibitors; Prospective randomized clinical trial; *Helicobacter pylori*

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Core tip: The urea breath test (UBT) with new test meal Reflex (5.5 g powder mixture of citric, malic and tartaric acid) was tested in one hundred and fourteen consecutive patients with dyspepsia, 53 *Helicobacter pylori* (*H. pylori*) positive, 49 *H. pylori* negative. After being on esomeprazole 40 mg for 29 consecutive days, the ¹³C-UBT was performed the next morning. The sensitivity of the ¹³C-UBT (cut off 2.5‰) was 92.45% by per-protocol (PP) analysis and 78.13 % by intention-to-treat (ITT) analysis. The specificity of the ¹³C-UBT test was 96.00 % in the ITT population and 97.96% in the PP population.

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INTRODUCTION

The urea breath test (UBT) is recommended as the test of choice for determining the success of eradication treatment^[1]. In the management of dyspeptic patients in primary care settings, non-invasive *Helicobacter pylori* (*H. pylori*) testing is the initial step in the management of dyspeptic patients (*i.e.*, test and treat strategy)^[2,3]. The UBT is highly sensitive and specific, except in patients taking proton pump inhibitors (PPIs)^[4]. In studies with patients on PPI therapy, the UBT resulted in 10%-40% false negatives^[5-7]. Current guidelines recommend stopping these medications for 14 d before the UBT or stool test^[2].

PPIs are widely available and are over-the-counter agents in some countries^[8]. Clinicians are frequently confronted with making a diagnosis of *H. pylori* infection in patients who may knowingly or unknowingly

be taking a PPI. Patients who self-administer certain medications that can cause dyspepsia (*e.g.*, low dose aspirin to prevent myocardial infarction or nonsteroidal anti-inflammatory drugs) often take PPIs to treat dyspepsia symptoms, and the majority of these patients cannot stop PPI therapy for two weeks without suffering dyspeptic symptoms. Therefore, the UBT might not be properly performed in a substantial number of these patients. If *H. pylori* is diagnosed late or remains undiagnosed, the risk of stomach cancer is increased^[9,10].

The breath tests that are currently available are reliable 12-14 d after discontinuing PPI therapy^[4,11]. Acid inhibition with PPIs can reduce the number of *H. pylori* colonies, especially in the antrum, which may be one possible explanation for a false negative UBT^[12]. Some studies have suggested that acidification of the stomach may partially reverse a false negative UBT^[11,13]. However, the results have been inconsistent, and the correct procedure for acidifying the stomach has not been established.

Reflex is a new acidified test meal for the ¹³C-UBT that contains a mixture of three organic acids - citric acid, malic acid and tartaric acid - and has been developed to increase the sensitivity of the test in patients taking PPIs.

The aim of this study was to determine the sensitivity, specificity and accuracy of a specially formulated UBT test meal, Reflex, in patients taking proton pump inhibitors.

MATERIALS AND METHODS

Study objectives

Primary objective: To determine the sensitivity of the ¹³C-UBT test using the new test meal for *H. pylori* in patients with dyspepsia taking PPIs with a one day break in medication.

Secondary objectives: To determine the specificity of the ¹³C-UBT using the new test meal for *H. pylori* in patients with dyspepsia taking PPIs with a one day break in medication and to determine the safety and tolerance of the new test meal.

Inclusion criteria and study protocol

This was an observer-blind, multicentre study (one in Slovenia and two in Germany) in which consecutive dyspeptic *H. pylori* positive or negative patients were included. The inclusion criteria were as follows: male and female patients of at least 18 year of age; all acid-related disorders requiring long-term PPI treatment, including functional dyspepsia, according to the Rome II classification; and positive or negative standard ¹³C-UBT at screening. Diagnosis of *H. pylori* infection was confirmed or excluded by a combination of culture, histology and the rapid urease test (RUT; PyloriTek®, Serim Research Corp., Elkhart, United

States) on samples obtained by endoscopy. "True positive patients" were patients with a positive culture or when at least two of the following tests were positive: UBT, histology, or rapid urease test (RUT). "True negative patients" were patients with at least two negative tests and a negative culture. True negative patients were also those with non-evaluable cultures and negative histology and urease test. Patients with negative UBT underwent upper endoscopy only if this was deemed necessary by the investigator for medical reasons. This study was conducted in outpatients.

Two biopsy samples were obtained from the antrum and corpus for histology. One biopsy sample for RUT was taken from the angular fold, and two samples from the antrum were taken for culture.

The biopsies for histology were stained with haematoxylin and eosin and Giemsa stains, and gastritis was scored using the Updated Sydney System. All biopsy samples were analysed at each respective medical centre.

Gastric biopsies for culture were collected and transported in Portagerm pylori (bioMerieux, France) transport medium. After homogenization in 1 mL PBS, 0.1 mL aliquots were inoculated for gram stain and culture. Two selective and one non-selective media were used. Plates were incubated at 37 °C in a microaerophilic atmosphere for 9 d and inspected for growth every 72 h. An enriched atmosphere was created using Anoxomat (Mart Microbiology). Typical colonies were identified with a typical gram stain and positive urease, catalase and oxidase reactions.

Starting on Day 1, *H. pylori* positive and negative patients in both study arms took Nexium capsules (40 mg) orally once daily 30 min before breakfast. They were instructed not to take antibiotics, bismuth compounds, H₂ receptor antagonists or other acid-suppressive agents during the treatment period. All other concomitant medications were recorded in the case report form with the name of the drug, active ingredient(s), strength of active ingredient(s), indication, single dose, daily dose, dosage interval, route of administration, and the times of initiation and discontinuation.

Patients returned to the hospital/medical practice for breath tests on day 30. Nexium capsules were discontinued after day 29. The patients were requested to return unused PPI medication on day 30. Treatment compliance was assessed by calculating the difference in the number of tablets issued and returned.

The ¹³C-UBT was performed in *H. pylori* positive and negative patients using the new test meal Reflex on day 30. A delta value ≥ 2.5‰ was set as a positive result. The test started with a breath sample taken at baseline. Thereafter, the patient had to ingest the new test meal Reflex dissolved in 200 mL tap water and 75 mg ¹³C-urea dissolved in 30 mL tap water. The new test meal had to be ingested and was followed by the ¹³C-urea solution. A second breath sample was taken

30 min after ingestion of the test meal. Breath samples were collected in pre-labelled test-tubes. The breath samples were sent in the original outer packaging to the laboratory in Germany.

We could not compare the new test meal Reflex with the classic meal with 2.0 g of citric acid, because according to the UBT protocol the second test should be performed earliest one day later in order to avoid a false positive result. This means second UBT meal can be performed earliest after two days break of PPI treatment instead one day what will implement great bias in the study.

Patients were followed-up for 14 d after discontinuation of PPI treatment. At the end of the study, positive patients were offered eradication therapy according to the current European guidelines. *H. pylori* negative patients were treated according to national dyspepsia guidelines.

Exclusion criteria

Patients were not included in the study if they have previously been treated for their *H. pylori* infection, if they have used PPI, H₂ receptor antagonists, NSAIDs, antibiotics, antisecretory drugs, bismuth compounds, or sucralfate in the 4 wk prior to enrolment, if they had manifest coagulopathy or any other disorder according to which endoscopy and/or biopsies are contraindicated, if they have participated in a clinical trial with another not approved drug within 30 d before entering the study and in case of pregnancy

Sample size calculations

A total of 114 patients were screened in 3 active study centres. Analyses of the sensitivity and specificity of the modified UBT for *H. pylori* infection with new test meal were performed for exploratory purposes. Sample size calculations were based on previous experience with the modified UBT for *H. pylori* infection^[14]. This experience showed a sensitivity of at least 90% after 29 d of PPI medication. Although rare cases of false positive breath tests may occur in *H. pylori* negative patients, if other urea active bacteria than *H. pylori* such as *Proteus mirabilis* or *Staphylococcus aureus* colonize gastric lumen in patients with extensive atrophy or intestinal metaplasia^[15]. However, specificity of 90% was still assumed. With a sample size of 43, a two-sided 95%CI for a single proportion using the large sample normal approximation would extend 9 percentage-points from the observed proportion for an expected proportion of 90% (width of the 95%CI of 18%). With a sample size of $n = 43$ *H. pylori* positive patients and $n = 43$ actual *H. pylori* negative patients, sufficient precision for assessing sensitivity and specificity was expected. The actual sample sizes chosen to be used in the study were slightly larger [*H. pylori* positive: 63 in intention-to-treat (ITT) population, 53 in the PP population; *H. pylori* negative: 51 in ITT population, 49 in PP population]. Concerning

Table 1 Demographic data *n* (%)

Demographic variable	<i>n</i> = 114
Age (yr), mean ± SD	51.07 ± 14.4
Height (cm), mean ± SD	168.90 ± 9.3
Weight (kg), mean ± SD	73.43 ± 14.9
BMI (kg/m ²), mean ± SD	25.66 ± 4.3
Ethnic group	
Caucasian	114 (100.0)
Other	0 (0.0)
Gender	
Female	76 (66.7)
Male	38 (33.3)

Table 2 Diagnostic investigation for *Helicobacter pylori* (*n* = 114)

Hp diagnostic test	<i>n</i> (%)
UBT with standard test meal	
Positive	63 (55.3)
Negative	51 (44.7)
Upper endoscopy	
Yes	110 (96.5)
No	4 (3.5)
Culture	
Positive	60 (54.5)
Negative	36 (32.7)
Not evaluated	14 (12.7)
Histology	
Positive	53 (48.2)
Negative	47 (42.7)
Not evaluated	10 (9.1)
Rapid urease test	
Positive	63 (57.3)
Negative	47 (42.7)

Hp: *Helicobacter pylori*.

the primary variable of sensitivity, the asymptotic confidence intervals were quite close to the exact Clopper-Pearson intervals (ITT: asymptotic 95%CI: 64.39%-85.61%, exact 95%CI: 62.60%-84.98%; PP: asymptotic 95%CI: 85.38%-99.56%, exact 95%CI: 81.79%-97.91%). Concerning the secondary variable of specificity, the asymptotic confidence intervals deviate a bit more from the exact Clopper-Pearson intervals (ITT: asymptotic 95%CI: 94.12%-100%, exact 95%CI: 89.35%-99.95%; PP: asymptotic 95%CI: 94.00%-100%, exact 95%CI: 89.15%-99.95%) due to the proximity to 100% of the estimated specificity.

Ethics

The study was carried out in accordance with national laws and regulations, the ICH Guideline E6: Note for Guidance on Good Clinical Practice (CPMP/ICH/135/95), and with the Declaration of Helsinki, revised version, 48th WMA General Assembly, Somerset West, October 1996. Permission of the national regulatory authority was a prerequisite for initiation of the study.

Each patient was supplied with full and adequate verbal and written information on the objectives and procedures of the study as well as potential benefits,

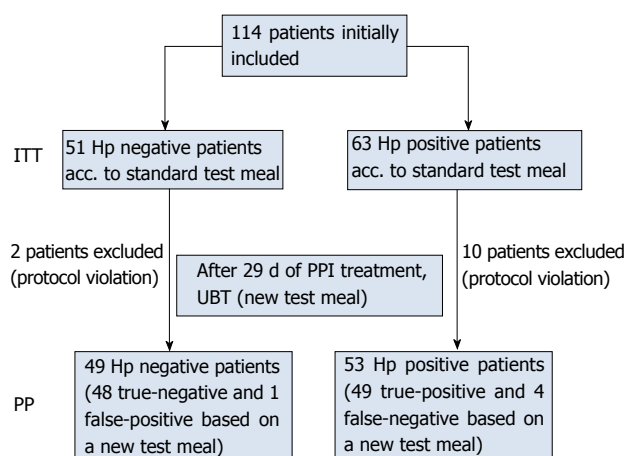


Figure 1 Flow diagram. The patient population and results of *Helicobacter pylori* diagnosis (based on study criteria and UBT with standard test meal and new test meal after 29 d of PPI treatment). UBT: Urea breath test; ITT: Intention-to-treat; PPI: Proton pump inhibitors; Hp: *Helicobacter pylori*.

discomforts and risks involved prior to inclusion in the study.

Statistical analysis

The sensitivity and specificity of the UBT with the new test meal on day 30 was assessed using relative frequencies and 95%CI (two-sided). Descriptive statistical methods were applied.

RESULTS

One hundred and fourteen patients were initially included in three centres. Twelve patients were excluded for not fulfilling the inclusion criteria (7 took Nexium 40 mg on day 30, three patients took antibiotics during the study period and two patients did not return on day 30). Altogether, 102 patients were eligible for PP analysis (Figure 1). Demographic data are presented in Table 1. The results of the diagnostic tests are presented in Table 2.

The primary variable in this study was the sensitivity of the ¹³C-UBT test using the new test meal for *H. pylori* in patients with dyspepsia taking PPI with a one day break in medication. The sensitivity of the ¹³C-UBT test was assessed using relative frequency and 95%CI (two-sided).

In our study, the cut-offs were set at 3.0‰, 2.5‰ and 2.0‰. The best sensitivity and specificity were achieved by using cut-off points of 2.5‰ and 2.0‰ combined with a break in PPI intake of one day before performing the UBT (Table 3).

The sensitivity of the ¹³C-UBT test was assessed using relative frequency and 95%CI (two-sided). The sensitivity of the ¹³C-UBT was found to be 92.45% (95%CI: 81.79%-97.91%) for the PP population (Table 4).

In the PP population, in patients with a positive *H. pylori* infection, 92.5% also had positive ¹³C-UBT results, and 7.5% showed (false) negative results.

Table 3 Thirty days of proton pump inhibitors medication, different cut-off points 2‰, 2.5‰, 3‰, sampling time 30 min

Cut-off	Sensitivity	Specificity	PPV	NPV	Accuracy
2‰	92.45%	97.96%	98.00%	92.31%	95.10%
2.5‰	92.45%	97.96%	98.00%	92.31%	95.10%
3‰	86.79%	97.96%	97.87%	87.27%	92.16%

In patients negative for *H. pylori* infection, 98.0% of the patients had negative ^{13}C -UBT results, and 2.0% showed (false) positive results.

As a secondary variable, the specificity was analysed for the ^{13}C -UBT test using the new test meal for *H. pylori* in patients with dyspepsia and taking PPI with a one day break in medication. The specificity was found to be 97.96% for the PP population (95%CI: 89.15 %-99.95%)(Table 5).

The analysis of ROC curves considers in principle all cut-offs in order to identify a value with high efficiency. The chosen cut point of 2.5‰ based on the data leads to an excellent sensitivity and specificity. A well the excellent overall performance of the new UBT is substantiated by the ROC curve with a maximum Youden value of 0.90412, corresponding to a measured $\Delta\delta$ -value of 2.588‰ (Figure 2).

However, a value that is based on only one study with a limited possible number of cases may lead to slightly higher sensitivity and specificity. Our simulation study for different sample sizes and up to 1000 repeats of the diagnostic test provided an expected average sensitivity of 90%, which is only 2.5% lower than the observed sensitivity of 92.5% in our study. The expected average specificity is 99%, and therefore, higher than the observed value (97.96%). In all simulations, the study shows an expected maximum bias potential of 2.5%.

The accuracy of the method can be derived from 95% confidence limits based on the simulation study. These limits are defined by the 2.5% and 97.5% percentiles of the calculated distributions of the sensitivity and specificity. When reviewing 1000 repeats and a simulated sample size of 400, the limits were 86.8% to 92.5% (sensitivity) and 96.8% to 100% (specificity).

Following adverse events (AEs) are observed: Overall 8 patients (7.0%) experienced AEs, and all AEs were assessed and considered to be related to PPI medication. No serious adverse events, AEs leading to permanent discontinuation of the study medication, or fatal AEs were documented during this study. Mild AEs were reported for 3 patients (2.6%) and moderate AEs for 5 patients (4.4%) related to PPI medication.

DISCUSSION

^{13}C -UBT is the non-invasive method of choice for the detection of *H. pylori* infection in a test and treat strategy as well as for the assessment of the success

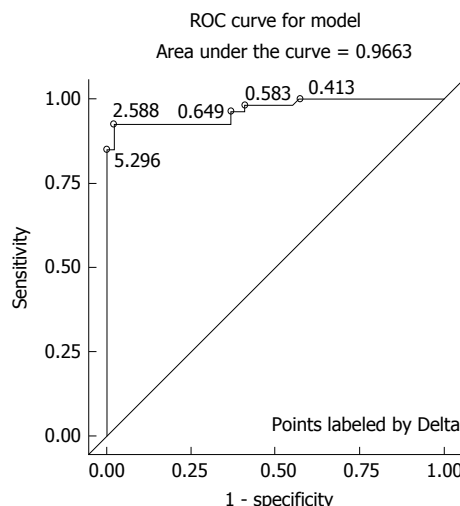


Figure 2 Empirical receiver operating characteristic curve by using cut-off values of 2.0‰, 2.5‰ and 3.0‰ (Per-protocol population, $n = 102$).

of *H. pylori* eradication^[1].

However, the sensitivity of the test decreases to unacceptable levels if patients are on PPI treatment^[1,2]. Currently available breath and stool tests are recommended to be performed 14 d after discontinuation of the PPI^[16]. This delay results in additional costs and the inconvenience of an additional visit. Dyspeptic symptoms may occur in patients due to acid rebound after the withdrawal of PPI therapy. In this study, we report on the diagnostic performance of a novel ^{13}C -UBT based on a special mixture of acid components in a test meal.

PPIs have a direct antibacterial effect on *H. pylori* and have been reported to inhibit *H. pylori* urease activity^[17-19]. False negative results, therefore, are a limitation in the use of the standard UBT in patients on PPIs. Up to 40% of individuals taking PPIs had a false negative test result^[5,6,19-22]. While 5 d on a PPI had no significant effect on *H. pylori* and the UBT, one-third of volunteers had a negative UBT after being on PPI therapy for 7 d (omeprazole 20 mg)^[12]. The UBT was positive again in all but one patient 4 d after stopping the PPI and in all patients 14 d after stopping the PPI. In all these studies, 2 g of citric acid was used as the test meal for UBT. Patients with a negative ^{13}C -UBT were also negative for *H. pylori* in antrum biopsies and had reduced *H. pylori* scores in corpus biopsies^[23].

The role of citric acid in the UBT test meal is to acidify the gastric contents and retard gastric emptying^[11,12,24-27]. The effect of citric acid on enhancing intragastric urease activity is dose dependent for doses between 1 and 4 g in 200 mL of water^[28]. Gastric pH, therefore, plays a major role in *H. pylori* urease activity.

In a study measuring gastric juice pH in 109 patients on chronic PPI therapy, 74% of the patients presented with gastric hypochlorhydria ($\text{pH} > 4$), and 26% of patients with presented with a $\text{pH} \leq 4$ ^[28]. False-negative RUT results were prevalent in patients with a $\text{pH} > 4$, whereas with a gastric pH of 2-4 (due

Table 4 Sensitivity of the ¹³C-urea breath test using the new test meal for *Helicobacter pylori* n (%)

Population	Result of UBT with new test meal	Diagnosis of Hp infection		Sensitivity	95%CI
		Positive	Negative		
ITT (n = 114)	Positive	50 (78.1)	1 (2.0)	78.13%	66.03%-87.49%
	Negative	13 (20.3)	48 (96.0)		
	Not performed	1 (1.6)	1 (2.0)		
PP (n = 102)	Positive	49 (92.5)	1 (2.0)	92.45%	81.79%-97.91%
	Negative	4 (7.5)	48 (98.0)		

UBT: Urea breath test; ITT: Intention-to-treat; PP: Per-protocol; Hp: *Helicobacter pylori*.

Table 5 Specificity of the ¹³C-urea breath test using the new test meal for *Helicobacter pylori* n (%)

Population	Result of UBT with new test meal	Diagnosis of Hp infection		Specificity	95%CI
		Positive	Negative		
ITT (n = 114)	Positive	50 (78.1)	1 (2.0)	96.00%	86.29%-99.51%
	Negative	13 (20.3)	48 (96.0)		
	Not performed	1 (1.6)	1 (2.0)		
PP (n = 102)	Positive	49 (92.5)	1 (2.0)	97.96%	89.15%-99.95%
	Negative	4 (7.5)	48 (98.0)		

UBT: Urea breath test; ITT: Intention-to-treat; PP: Per-protocol; Hp: *Helicobacter pylori*.

to inadequate PPI effect), RUT results were positive^[29].

The proton-gated inner membrane urea channel, *H. pylori* Urel, is essential for the survival of the *H. pylori* bacteria in the acidic environment of the stomach (pH < 2)^[30]. This channel is closed at neutral pH and opens at low pH, allowing urea access to urease. *H. pylori* urease forms NH₃ and CO₂, which neutralize incoming protons and thus buffer the periplasmic space to pH approximately 6, even in gastric juice at a pH < 2.0^[7,31-35].

To compensate for the unfavourable gastric pH due to PPI therapy, the concentration of citric acid for UBT has been raised maximally to 4.2 g in 200 mL water^[28]. This concentration of citric acid is poorly tolerated and induces symptoms. To avoid inconvenience for patients and to overcome the negative impact of PPIs on *H. pylori* urease, we used a highly concentrated mixture of organic acids (5.5 g in 200 mL water: tartaric acid, malic acid and citric acid) to reduce patient complaints^[14]. Agha *et al.*^[13] showed that an enhancement in urease activity can similarly be obtained for citric and malic acid.

H. pylori urease is a nickel-containing enzyme^[36], and preliminary data have suggested that changes in intracellular *H. pylori* nickel levels may influence urease activity^[37]. *H. pylori* urease and the membrane-bound hydrogenase enzyme are both *H. pylori* metalloenzymes, which are nickel-dependent. Moreover, the nickel transporter NixA and accessory proteins such as HypA and HypB serve to increase intracellular *H. pylori* nickel levels and enhance urease activity^[37].

Citric acid, tartaric acid and malic acid are organic acids that bind many trace metals, including nickel, and they can increase *H. pylori* urease activity both by lowering pH as well as by providing nickel to *H. pylori*.

The new test meal, Refex, has a unique 5.5 g powder mixture of three organic acids: citric, malic and tartaric acid dissolved in 200 mL water (pH 1.8). This highly concentrated organic acid mixture increases the acidity of the stomach for a short period of time and permits an increase in the bacterial urease activity to the point that urease activity becomes detectable in patients on PPIs.

We could not compare the new test meal Refex with the classic meal with 2.0 g of citric acid, because according to the UBT protocol the second test should be performed earliest one day later in order to avoid a false positive result. This means second UBT meal can be performed earliest after two days break of PPI treatment instead one day what will implement great bias in the study.

For optimizing the sensitivity of the UBT on Refex, we adjusted the cut-off point. We analysed the cut-off point of 4‰ for the standard test meal and for the new test meal (Refex), but we also investigated cut-off points of 3.0‰, 2.5‰ and 2.0‰. The best sensitivity and specificity were achieved with cut-off points at 2.5‰ and 2.0‰. With these modifications, we were able to reach a sensitivity of 92.5% (95%CI: 81.79%-97.91%) and specificity of 97.96% (95%CI: 89.15%-99.95%) for the PP population.

The UBT test using the new test meal Refex was well tolerated, with 7.2% of patients reporting dyspeptic effects during test meal intake. No severe side effects were noted.

With good patient compliance (PP population), we were able to demonstrate that the new UBT Refex can be reliable enough to be used in everyday clinical practice in patients who cannot stop their PPI therapy for more than one day.

ACKNOWLEDGMENTS

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COMMENTS

Background

The urea breath test (UBT) is recommended as the test of choice for determining the success of eradication treatment. The UBT is highly sensitive and specific, except in patients taking proton pump inhibitors (PPIs) where the UBT can be false negatives in 10%-40% of patients. Current guidelines recommend stopping these medications for 14 d before the UBT or stool test.

Research frontiers

PPIs have a direct antibacterial effect on *Helicobacter pylori* (*H. pylori*) and can inhibit *H. pylori* urease activity. The *H. pylori* colonisation of the stomach can be also reduced, especially in antrum. Citric acid test meal is used to acidify the gastric contents and retard gastric emptying. The effect of citric acid on enhancing intragastric urease activity is dose dependent for doses between 1 and 4 g in 200 mL of water. High concentration of citric acid is poorly tolerated and induces symptoms.

Innovations and breakthroughs

A highly concentrated mixture of organic acids (5.5 g in 200 mL water: tartaric acid, malic acid and citric acid; pH 1.8) was used to reduce patient complaints and increase the accuracy of the UBT. This mixture can increase the *H. pylori* urease activity by the influence of low pH on the Urel channel, or by providing additional nickel to *H. pylori*. With the adjustment of the cut-off point to 2.5‰ sensitivity of UBT with new test meal can be improved.

Applications

The new UBT test meal can be used as the *H. pylori* diagnostic test in patients on PPI who can not stop their PPI therapy for two weeks or more. Recommendations for the use of UBT after antimicrobial therapy should not be changed.

Peer-review

This work is good and it will help us in further clinical work in the detection of *H. pylori* positive and negative dyspepsia patients on proton pump inhibitors.

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